



POCKET NO.: POPT-0004

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ernest H. Zerenner et al.

Serial No.: 09/676,374

Group Art Unit: 2161

Filed: September 29, 2000

Examiner: Not Yet Assigned

For: **SYSTEM AND METHOD FOR ANALYZING AND
SEARCHING FINANCIAL INSTRUMENT DATA**

I, John E. McGlynn, Registration No. 42,863 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On March 15, 2001

John E. McGlynn
Registration No: 42,863

Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

PRELIMINARY AMENDMENT

Please amend this application as follows:

In the Claims:

Please amend claims 3, 5, and 7 as follows:

A(3. (Amended) The method of claim 1, wherein said step of processing the financial data to derive values for a set of searchable parameters corresponding to stock option spreads comprises the step of processing the financial data to derive

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